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24			Applic	ation Number	10/788,51	10
TRAI	NSMITTAL		Filing		February	
P I	FORM			lamed Inventor		MUHAMMAD
(to be used for all co	rrespondence after i	nitial filing)	Art Un	 it	Unassign	
			Exami	ner Name	Unassign	
Total Number of Page Submission	es in This		Attorno	ey Docket Number	018563-0	
		ENC	LOSURE	S (Check all that appl	y)	
Fee Transmittal	Form	☐ Drawin	g(s)		After /	Allowance Communication to Group
Fee Attache	ed	Licensi	ng-relate	ed Papers		al Communication to Board of Appeals
Amendment/Reply		Petition	1			al Communication to Group (Appeal , Brief, Reply Brief)
☐ After Final ☐			n to Conv onal App		☐ Propri	etary Information
Affidavits/declaration(s)				ey, Revocation espondence Address	☐ Status	s Letter
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	o Missing Parts FR 1.52 or 1.53					
	SIGI	NATURE O	F APPL	ICANT, ATTORNEY,	OR AGEN	Т
Firm or	Townsend and T		nd Crew			`
Individual	James M. Hestin			Reg. N	o. 29,54 <u>1</u>	
Signature	\(\frac{1}{2} \)					
Date	4/14	604				
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Attorney Docket No.: 018563-004920US Client Reference No.: AT00106.2

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

TOWNSEND and TOWNSEND and CREW LLP

Edward Masinas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MUHAMMAD CHISHTI et al.

Application No.: 10/788,510

Filed: February 27, 2004

For: SYSTEM AND METHOD FOR

POSITIONING TEETH

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 10/133,155, filed 04/26/2002 (Attorney Docket No. 018563-004910US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

MUHAMMAD CHISHTI et al.

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Application No.: 10/788,510

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

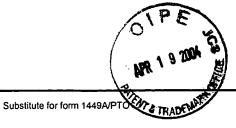
James M. Heslin Reg. No. 29,541

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JMH:bjl 60190385 v1



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 4

	Complete if Known
Application Number	10/788,510
Filing Date	February 27, 2004
First Named Inventor	CHISHTI, MUHAMMAD
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	018563-004920US

			U.S. PATENT DO	OCUMENTS+	
		Document Number			
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-3,660,900	05/1972	Andrews	·
	AB	US-3,860,803	01/1975	Levine	
	AC	US-4,504,225	03/1985	Yoshii	
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	AE	US-4,755,139	07/1988	Abbatte et al.	
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	AK	US-5,011,405	04/1991	Lemchen	
w-na	AL	US-5,017,133	05/1991	Miura	
	AM	US-5,035,613	07/1991	Breads et al.	
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	AQ	US-5,186,623	02/1993	Breads et al.	
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	AV	US-5,368,478	11/1994	Andreiko et al.	
	AW	US-5,382,164	01/1995	Stern	
-	AX	US-5,395,238	03/1995	Andreiko et al.	
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	ВА	US-5,452,219	09/1995	Dehoff et al.	
	ВВ	US-5,454,717	10/1995	Andreiko et al.	
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	BL	US-5,683,243	11/1997	Andreiko et al.	
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	BN	US-6,152,731	11/2000	Jordan et al.	
	ВО	US-6,217,334	04/2001	Hultgren	

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language Translation is attached.

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Substitu	ute for form 1449A/P1	го			Complete if Known
				Application Number	10/788,510
INF	ORMATION	I DIS	CLOSURE	Filing Date	February 27, 2004
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	CHISHTI, MUHAMMAD
				Art Unit	Unassigned
	(use as many sh	eets as	necessary)	Examiner Name	Unassigned
Sheet	2	of	4	Attorney Docket Number	018563-004920US

	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	For	eign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant			
Initials* No.1	Country Code ³	Number ⁴ Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	т⁰			
	8P	WO	94/10935	05/1994	<u> </u>				
	BQ	WO	98/32394	07/1998					
	BR	FR	2 369 828	06/1978					

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Examiner Signature	Date Considered	·

Substitute	for form 1449	B/PTO			Complete if Known
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		MATION DISCLOSURE EMENT BY APPLICANT		Filing Date	February 27, 2004
STAT	STATEMENT BY APPLICANT			First Named Inventor	CHISHTI, MUHAMMAD
				Art Unit	Unassigned
(use as man	y sheets as	necessary)	Examiner Name	Unassigned
Sheet	3	of	4	Attorney Docket Number	018563-004920US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BS	Biostar Operation & Training Manual, Great lakes Orthodontics, Ltd. 199 Fire Tower Drive, Tonawanda, New York, 14150-5890, 20 pgs.	
	вт	Chiappone, "constructing the gnathologic setup and positioner," J. Clin. Orthod., 1980, 14:121-133.	
	BU	Cottingham, "Gnathologic clear plastic positioner," Am. J. Orthod. 1969, 55:23-31	
	BV	Cureton, "Correcting malaligned mandibular incisors with removal retainers," J. Clin. Orthod. 1996, 30:390-395.	
	вw	Doyle, "Digital dentistry" Computer Graphics World, October 2000, pp. 50-52, 54.	
	вх	Elsasser, "Some observations on the history and uses of the Kesling positioner," Am. J. Orthod. 1950, 36:368-374.	
	BY	Kamada et al., "Case reports on tooth positioners with LTV vinyl silicone rubber and some case reports," J. Nihon University School of Dentistry 1982, 24(1):1-27.	
	BZ	Kesling, "Coordinating the predetermined pattern and tooth positioner with conventional treatment," Am. J. Orthod. Oral. Surg. 1946, 32:285-293.	
	CA	Kesling, "The philosophy of the tooth positioning appliance," Am. J. Orthod. Oral. Surg. 1945, 31(6):297-304.	
	СВ	Kleeman et al., "The speed positioner," J. Clin. Orthod. 1996, 30:673-680.	
	сс	Kuroda et al., "Three-dimensional dental cast analyzing system using laser scanning," Am. J. Orthod. Dentofac. Orthop. 1996, 110:365-369.	
	CD	Nippon Dental Review, "New orthodontic device-dynamic positioner (D.P.) –1 Approach to the proposal of D.P. and transparent silicone rubber," 1980, 452:61-74.	
	CE	Nippon Dental Review, "New orthodontic device-dynamic positioner (D.P.)-11, Practice application and construction of D.P. and transparent silicone rubber," 1980, 454:107-130.	
	CF	Nippon Dental Review, "New orthodontic device-dynamic positioner (D.P.)-111, Case reports of reversed occlusion," 457:146-164.	
	CG	Nippon Dental Review, "New orthodontic device-dynamic positioner (D.P.)- Case reports of reversed occlusion," 1980, 458:112-129.	
	СН	Nishiyama et al., "A new construction of tooth repositioner by LTV vinyl silicone rubber," J. Nihon Univ. School of Dentistry, 1997, 19(2):93-102.	

Examiner	 Date	
Signature	Considered	

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Substitute	for form 14498	3/РТО			Complete if Known
11150	IFORMATION DISCLOSURE TATEMENT BY APPLICANT	001105	Application Number	10/788,510	
_				Filing Date	February 27, 2004
STAT	STATEMENT BY APPLICANT		First Named Inventor	CHISHTI, MUHAMMAD	
				Art Unit	Unassigned
(use as many	y sheets as ne	cessary)	Examiner Name	Unassigned
Sheet	4	of	4	Attorney Docket Number	018563-004920US

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	CI	Raintree Essix & ARS Materials, Inc., Raintree Essix, Technical Magazine Table of contents and Essix Appliances, httpz;// www.essix.com/magazine/default.html 08/13/97, 7 pgs.	
	Cl	Redmond et al., "Clinical implications of digital orthodontics" Am. J. Orthodont. and Dentofacial Orthopaedics, 2000, 117(2):240-242.	
	ск	Shilliday, "Minimizing finishing problem with the mint-positioner," Am. J. Orthod. 1971, 59-596-599.	
	CL	Warunek et al., "Physical and mechanical properties of elastomers in orthodontic positioners," Am. J. Orthod. Dentofac. Orthop. 1989, 95:388-400.	
	СМ	Wells, "Application of the positioner appliance in orthodontic treatment," Am. J. Orthodont. 1970, 58:351-366.	

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Examiner		Date	
Signature	· ·	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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